



SEC/BM/SE/ /2022-23

February 24, 2023

**CORPORATE COMPLIANCE CELL
BOMBAY STOCK EXCHANGE LIMITED
PHEROZA JEEJEEBHOY TOWERS,
25TH FLOOR, DALAL STREET,
BOMBAY-1.
E-Mail: corp.relations@bseindia.com**

Dear Sir/Mam,

REF.: Script Code. : BSE- 505807.

SUB.: Submission of Compliance Certificate for the Quarter Ended December 31, 2022

In Compliance with Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations), certificate of the same for the period Ended December 31, 2022 attached herewith for your record.

Kindly, take the same on your record.

Thanking You,
Yours faithfully,
For, Rolcon Engineering Co. Ltd.,

**(Ashish S. Amin)
Managing Director (DIN: 01130354)**



ISO : 9001 : 2015
Certi No. 04 100 067292

Regd. Office :
Anand-Sojitra Road,
Vallabh Vidyanagar - 388 120,
Anand, Gujarat, India.

CIN : L29259GJ1961PLC001439,
Phone : 02692-230766/230866,
Web:- www.rolconengineering.com
Email:- rolcon@rolconengineering.com



COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED DECEMBER 31, 2022

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, **Ashish S. Amin**, Managing Director of **Rolcon Engineering Co. Ltd.**, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that,

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 1 number of events during the quarter ended and has captured 1 number of the said required events.

I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: **NIL**

Further, I also certify that, Company did not share such UPSI to anyone and was not misused by any related individual/body that you please take note of the same. Furthermore, we being committed compliance team assures to the exchange and members of the Company for better corporate compliance of the Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015.

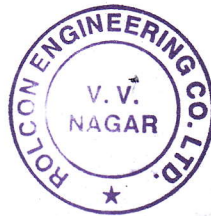
Kindly, take the same on your record.

Thanking You,

Yours faithfully,
For, **ROLCON ENGINEERING CO. LTD.**,

A. S. Amin

(Ashish S. Amin)
Managing Director
DIN: 01130354



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